DEPARTMENT OF HEALTH AND HUMAN SERVICES Form Approved: OMB No. 0910-0309 PUBLIC HEALTH SERVICE Expiration Date: December 31, 2000 FOOD AND DRUG ADMINISTRATION See OMB Statement on Reverse. **Governmental Entity Declaration** FACILITY IDENTIFICATION NUMBER (from FDA certificate) **FACILITY NAME AND ADDRESS** I.R.S. EMPLOYEE IDENTIFICATION NUMBER (EIN) **Facility Operation** No Is the entire salary of all on-site personnel of the mammography facility directly paid by a federal department, state, district, territory, possession, federally-recognized Indian tribe, city, county, town, village, municipal corporation or similar political organization or subpart thereof? Is the building, office, or other space occupied by the mammography facility owned by, rented by, or leased to a federal department, state, district, territory, possession, federally-recognized Indian tribe, city, county, town, village, municipal corporation or similar political organization or subpart thereof? Is the facility's mammography equipment owned by, rented by, or leased to a federal department, state, district, territory, possession, federally-recognized Indian tribe, city, county, town, village, municipal corporation or similar political organization or subpart thereof? Does a federal department, state, district, territory, possession, federally-recognized Indian tribe, city, county, town, village, municipal corporation or similar political organization or subpart thereof have the ultimate authority to make day-to-day decisions concerning the management and operation of the mammography facility? If you answered "yes" to all of the above questions, your facility qualifies as a governmental entity exempt from inspection fees. Please provide the name of the federal department, state, district, territory, possession, federally-recognized Indian tribe, city, county, town, village, municipal corporation or similar political organization or subpart thereof that operates the facility: Funding Under the Breast and Cervical Cancer Mortality Prevention Act of 1990 Were at least 50% of the mammography screening examinations provided during the preceding 12 months funded under the Breast and Cervical Cancer Mortality Prevention Act of 1990, 42 U.S.C. 300k et seq.? If you answered "yes" to question 5 above, your facility qualifies as a governmental entity exempt from inspection fees. Please provide the following information: Total number of mammography screening examinations in preceding 12 months: Number of mammography screening examinations funded by grants under the Breast and Cervical Cancer Prevention Act of 1990: I attest that, to the best of my knowledge and belief, the information provided in this Declaration is true and correct and that the mammography facility identified above qualifies as a governmental entity under the definitions set forth on reverse of this form. I understand that FDA may request additional information to substantiate the statements made in this Declaration. I also understand that persons who knowingly make false statements to the government are subject to civil and criminal penalties. Date Signature of Chief Financial or Operating Officer (or equivalent) Title Printed Name

FORM FDA 3422 (1/98)

Instructions for Completion of Governmental Entity Declaration

This form is used to attest that a mammography facility qualifies as a "governmental entity" that is exempt from payment of inspection fees assessed under the Mammography Quality Standards Act of 1992.

A governmental entity is a mammography facility subject to inspection under section 354(g)(1) of the Public Health Service Act, 42 U.S.C. 263b(g)(1), that meets either of the following criteria--

- (1) the facility is operated by any federal department, state, district, territory, possession, federally-recognized Indian tribe, city, county, town, village, municipal corporation or similar political organization or subpart thereof; or
- (2) the facility provides services under the Breast and Cervical Cancer Mortality Prevention Act of 1990, 42 U.S.C. 300k *et seq.*, and at least 50% of the mammography screening examinations provided during the preceding 12 months were funded under that statute.

See 60 F.R. 14584 (March 17, 1995).

A facility that believes it qualifies as a governmental entity, as defined above, must complete this Declaration, have it signed by the facility's Chief Financial Officer or Chief Operating Officer (or equivalent responsible person), and return it to the following address:

MQSA Governmental Entity Declaration FDA Mammography Quality Assurance Program P.O. Box 6057 Columbia, MD 21045-6057

If this Declaration is not returned within 30 days, your facility will be categorized as subject to payment of inspection fees. Each such facility will be billed for all inspections conducted under 42 U.S.C. 263b (g)(1).

If FDA disallows a facility's claim that it is a governmental entity, FDA will notify the facility and will send a bill for all prior unpaid inspections.

If FDA determines that a facility is not a governmental entity, but the facility believes it qualifies for exemption the definition of governmental entity set forth above, the facility may appeal FDA's determination by explaining and certifying the basis for its belief in a letter directed to the FDA Ombudsman (HF-7), Office of the Chief Mediator and Ombudsman, Food and Drug Administration, 5600 Fishers Lane, Rockville, MD 20857. Any appeal must be postmarked within 30 days of the original billing date of the first inspection of the facility. The FDA Ombudsman will review a facility's claim that it is a governmental entity and will ordinarily reach a decision within 60 days. If the Ombudsman determines that a facility does not qualify as a governmental entity, the Ombudsman will provide a statement of the grounds for that determination. The Ombudsman's decision will constitute the agency's final decision on the matter.

IMPORTANT. This form must be signed by the Chief Financial Officer or Chief Operating Officer (or equivalent responsible person) of the facility claiming governmental entity status. If the Declaration is not returned within 30 days, your facility will be categorized as subject to payment of inspection fees. FDA may ask for additional documentation to substantiate a facility's claim that it is a governmental entity. Persons who knowingly make false statements to the government are subject to civil and criminal penalties.

Paperwork Reduction Act Statement

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection of information is estimated to average .5 hours (minutes) per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the necessary data, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information to:

OS Reports Clearance Officer ASMB/Budget/DIOR (0910-0309) HHH Building, Room 531-H 200 Independence Avenue, S.W. Washington, DC 20201

<--Please DO NOT RETURN this form to this address.